

# LUCE, FORWARD, HAMILTON & SCRIPPS LLP

ATTORNEYS AT LAW • FOUNDED 1873

PETER K. HAHN, PARTNER  
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May 27, 1999

I hereby certify that the original of this document and the enclosures referred to therein are being deposited with the United States Postal Service, "Express Mail Post Office to Addressee" service, under 37 C.F.R. § 1.10 on May 27, 1999, and addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, D.C., 20231.

*Sandra V. Edge*  
Sandra V. Edge

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JC594 U.S. PTO  
09/321271

05/27/99

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Re: Title: ADJUSTABLE GUITAR NECK  
Applicants: Robert D. TAYLOR  
Atty Docket No.: 08935-5/P01

Dear Sir or Madam:

Transmitted herewith for filing are the following documents with regard to the above:

- ▶ New Application Transmittal; and
- ▶ Patent Application, together with drawings;

So that we may have a timely record of this filing, please date stamp the enclosed stamped, self-addressed postcard and deposit it in the United States mail.

If there are any questions regarding the enclosed materials, the Examiner is requested to contact the undersigned attorney for the applicants.

Sincerely,

*Edward Sikorski*

for Peter K. Hahn  
of

Luce, Forward, Hamilton & Scripps LLP

PKH:sve  
Enclosures

cc: Mr. Gary Correia (with enclosures)

05/27/99

jc594 U.S. PTO  
09/321271  
05/27/99

[illegible]

## Express Mail No.: EM096557053US

2. **Papers Enclosed Which Are Required For Filing Date Under 37 CFR 1.53(b) (Regular) or 37 CFR 1.153 (Design) Application**

22 Pages of specification  
\_\_\_\_ Pages of claims  
\_\_\_\_ Pages of Abstract  
6 Sheets of drawing

- ☒ formal  
☐ informal

*(Complete the following, if applicable)*

- ☐ The enclosed drawing(s) are photograph(s), and there is also attached a "PETITION TO ACCEPT PHOTOGRAPH(S) AS DRAWING(S)". 37 C.F.R.1.84(b).

3. **Declaration or oath**

- ☐ Enclosed

executed by *(check all applicable boxes)*

- ☐ inventor(s).  
☐ legal representative of inventor(s). 37 CFR 1.42 or 1.43  
☐ joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached.

- ☐ this is the petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 is also attached. *See item 13 below for fee.*

- ☒ Not Enclosed.

- ☐ Application is filed pursuant to 37 CFR. 1.60, a true copy of the prior, complete, Co-Pending Parent Application is filed concurrently herewith and the inventor(s) are the same, accordingly no Oath or Declaration is required.  
☒ Application is made by a person authorized under 37 CFR 1.41(c) on behalf of *all the above named inventor(s)*. (The declaration or oath, along with the surcharge required by 37 CFR 1.16(e) can be filed subsequently).  
☐ Showing that the filing is authorized. *(Not required unless called into question. 37 CFR 1.41(d)).*

4. **Inventor Statement**

The inventorship for all the claims in this application are:

☒ The same

or

☐ Are not the same. An explanation, including the ownership of the various claims at the time the last claimed invention was made.

☐ is submitted.

☐ will be submitted.

**5. Language**

☒ English

☐ non-English

☐ the attached translation is a verified translation. 37 CFR 1.52(d).

**6. Assignment**

☐ An assignment of the invention to \_\_\_\_\_

☐ is attached. A separate ☐ "RECORDATION FORM COVER SHEET, PATENTS ONLY is also attached.

☐ will follow.

**7. Fee Calculation (37 CFR 1.16)**

A. ☒ Regular application

**CLAIMS AS FILED**

Number filed	Number Extra	Rate	Basic Fee 37 CFR 1.16(a) \$760.00
Total			
Claims (37 CFR 1.16(c) 20 -18 =	x	\$ 18.00	-0-
Independent			
Claims (37 CFR 1.16(b) 3 -3 =	x	\$ 78.00	-0-
Multiple dependent claim(s), if any (37 CFR 1.16(d))	+	\$260.00	-0-

☐ Amendment canceling extra claims enclosed.

☐ Amendment deleting multiple-dependencies enclosed.

☐ Fee for extra claims is not being paid at this time.

Filing Fee Calculation \$760.00

- B. ☐ Design application (\$430.00 - 37 CFR 1.16(f))

Filing Fee Calculation \$ \_\_\_\_\_

- C. ☐ Plant application (\$580.00 - 37 CFR 1.16(g))

Filing Fee Calculation \$ \_\_\_\_\_

**8. Small Entity Statement(s)**

- ☐ Verified Statement(s) that this is a filing by a small entity under 37 CFR 1.9 and 1.27 is(are) attached.
- ☐ A Verified Statement (Declaration) Claiming Small Entity Status was filed in the Co-Parent Pending Application and this status is still proper and its benefit is hereby claimed pursuant to 37 C.F.R. § 1.28(a).

Filing Fee Calculation (50% of A, B or C above) \$ \_\_\_\_\_

**9. Fee Payment Being Made At This Time**

- ☒ Not Enclosed

☒ No filing fee is to be paid at this time. *(This and the surcharge required by 37 CFR 1.16(e) can be paid subsequently.)*

- ☐ Enclosed

☐ basic filing fee \$ \_\_\_\_\_

☐ recording assignment (\$40.00; 37 CFR 1.21(h)) (See attached \$ \_\_\_\_\_

☐ fee for international-type search report (\$40.00; 37 CFR 1.21(e)) \$ \_\_\_\_\_

Total fees enclosed \$ \_\_\_\_\_

**10. Method of Payment of Fees**

- ☐ Check in the amount of \$ \_\_\_\_\_
- ☐ Charge Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_  
A duplicate of this transmittal is attached.

**11. Please send all correspondence to:**

Peter K. Hahn, Esq.  
Edward H. Sikorski, Esq.  
LUCE, FORWARD, HAMILTON & SCRIPPS  
600 West Broadway, Suite 2600  
San Diego, California 92101

DATED: May 27, 1999

By Edward Sikorski 39,478  
for PETER K. HAHN  
Registration No. 34, 833

LUCE, FORWARD, HAMILTON & SCRIPPS, LLP  
600 West Broadway, Suite 2600  
San Diego, California 92101  
Telephone: (619) 699-2585

600 West Broadway

Box Patent Application

Assistant Commissioner for Patents  
Washington, D.C. 20231

Mailing Date:

Atty:

File No.:

Pat/Ser. No.:

Title:

5-27-99

PKH/EHS

08935-5/P01

ADJUSTABLE GUITAR  
NECK

Please confirm receipt of the document(s) checked below by applying your date stamp (and Serial No.):

☒ Patent application, including 22 pages of Specifications and Claims and 6 sheets of Drawings, and New Application Transmittal

☐ Declaration

☐ Power of Attorney

☐ Small Entity Statement (Bus/Individual)

☐ Response to Office Action

☐ Check No. \_\_\_\_\_ for \$ \_\_\_\_\_

☐ \_\_\_\_\_

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Peter K. Hahn/Edward Sikorski  
LUCE FORWARD HAMILTON  
& SCRIPPS LLP  
600 W BROADWAY # 2600  
SAN DIEGO CA 92101-3311  
TAYLOR/8935-5/P01 "Adjustable Neck Guitar"

BOX PATENT APPLICATION  
ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231